



## ANNUAL COMPLIANCE CERTIFICATE FOR THE YEAR 2021-22

I hereby certify that ICSI Institute of Insolvency Professionals having Registration No. IBBI/IPA/16-17/02 has complied with the applicable provisions of the Insolvency and Bankruptcy Code, 2016, the IBBI (Insolvency Professional Agencies) Regulations, 2016 and the relevant provisions of IBBI (Model Bye Laws and Governing Board of Insolvency Professional Agencies) Regulations, 2016 **for the year 2021-22**, as indicated in **Annexure A**.

2. I undertake that ICSI Institute of Insolvency Professionals having Registration No. IBBI/IPA/16-17/02 shall comply with the applicable provisions of the Insolvency and Bankruptcy Code, 2016, the IBBI (Insolvency Professional Agencies) Regulations, 2016 and the relevant provisions of IBBI (Model Bye Laws and Governing Board of Insolvency Professional Agencies) Regulations, 2016, wherever it has not complied with fully, as indicated in **Annexure A**, by the dates indicated therein.

3. I verify that the above certification is true and correct to the best of my knowledge and belief.

**CS Poonam Shukla**  
CS & Compliance Officer

**CS Alka Kapoor**  
Chief Operating Officer (Designate)

**Date:** 01.07.2022

**Place:** Noida

## **Annexure A**

(Unless specifically indicated, the compliance relates to the year 2021-22. Hence the IPA needs to provide status for the year 2021-22)

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
<b>Part A: Compliances with Provisions of the Insolvency and Bankruptcy Code, 2016</b>							
1.	204(a)	YES	How many members were admitted during the year?  Do all of them meet the requirements of eligibility?  Have all of them provided documents evidencing the qualification and experience required under Regulation 5 of the IBBI (Insolvency Professionals) Regulations, 2016?	100 Members registered as on 31.03.2022- 1101 Members enrolled as on 31.03.2022-1148  YES  YES			

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2	204(b)	YES	<p>How many standards of professional conduct does the IPA have at the end of 31<sup>st</sup> March, 2022</p> <p>How many standards were laid down prior to the current year?</p> <p>How many standards were laid down during the year?</p>	<p>4</p> <p>3</p> <p>(i) Code of Conduct for its professional Members</p> <p>(ii) Best practices (i) during CIRP, (ii) On fees and expenses to be charged by IPs (iii) Duties of IPs (iv) Code of conduct.</p> <p>(iii) Role of IPs in avoidance transactions</p> <p>1</p> <p>(i) Meetings of the CoC under CIRP</p>			

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3	204(c)	YES	<p>Did the IPA monitor performance of its members during the year?</p> <p>How many members' performance did the IPA monitor during year?</p> <p>What are the parameters used for monitoring the performance of its members?</p>	<p><b>Yes</b></p> <p>Till 31st March, 2022, 715IPs applied for AFA and before issuing AFA all the applicable compliances were verified. Therefore, 715 IPs performance was reviewed.</p> <p>Further, as on 31st March, 2022- 657 IPs were having CIRP assignments. Therefore, 657 IPs compliances were monitored.</p> <p>The parameters used for monitoring the performance of members are:</p>			

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			<p>How many members did not provide material required for monitoring performance?</p> <p>In case of how many members, did the IPA find performance unsatisfactory? What action was taken against the members whose performance was found unsatisfactory?</p>	<ul style="list-style-type: none"> <li>• Inspection</li> <li>• Desktop monitoring</li> <li>• Submission of AFA applications</li> <li>• Submission of CIRP forms</li> <li>• Submission of public announcement at IBBI website</li> <li>• Submission of disclosures by IPs</li> <li>• Half Yearly Returns submitted by IPs</li> <li>• Submission of Liquidation/Voluntary Liquidation details through google forms</li> <li>• submission of annual self-declaration form by IPs</li> </ul> <p>The list of defaulters are available on ICSI IIP website.</p> <p>During the FY 2021-22, 40 advisories were issued</p>			

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4.	204(d)	YES	<p>Does the IPA have a policy to safeguard the rights, privileges and interest of the members?</p> <p>What are the specific interventions the IPA did to safeguard the rights, privileges and interest of the members?</p>	<p>YES</p> <p>ICSI IIP's actions or steps for safeguarding the rights and interests of Insolvency Professionals:</p> <ul style="list-style-type: none"> <li>- Continuous Professional Training</li> <li>- Online Query platform</li> <li>- Advisory &amp; Assistance Cell</li> <li>- Formulation of best practices</li> <li>- Knowledge based sharing</li> <li>- Real-time response</li> </ul>			

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				- Compliance calendars			
5.	204 (e)	YES	<p>How many members did the IPA suspend during the year?</p> <p>How many memberships did the IPA cancel during the year?</p> <p>What was the most common violation of law for which a member was suspended or cancelled?</p>	<p>0</p> <p>0</p> <p>NA</p>			
6.	204 (f)	YES	<p>How many grievances did the IPA receive during the year?</p> <p>How many grievances did the IPA redress during the year?</p> <p>How many grievances are pending for disposal at the end of the year?</p>	<p>4 (Four)</p> <p>3 (Three)</p> <p>1 (One)</p> <p>The allegations raised in</p>			

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			<p>What was the most common type of grievance against members?</p> <p>What was the average time taken for disposal of grievances?</p>	<p>complaints are generally diverse and are related to claims.</p> <p>45 days</p>			
7.	204(g)	YES	<p>Whether the IPA has published details about its functions on its website?</p> <p>At what frequency these details are updated?</p> <p>Whether the IPA has published the list of its members on its website? At what frequency the list is updated?</p> <p>Whether the IPA has published the performance of</p>	<p>Yes <a href="https://icsiip.in/about-us.php">https://icsiip.in/about-us.php</a></p> <p>Whenever there is an update.</p> <p>Yes, the detailed list of members is available on its website and same information is being updated as and when required.</p> <p>The details of assignments handled by its professional</p>			



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			its members on its website? At what frequency these details are updated?	members and the status of those assignments are available on the website and the same is updated as and when required. Moreover, the list of defaulters is also available on the website.			
8.	205	YES	<p>Whether the bye-laws made by the IPA are consistent with the model bye-laws specified by the Board under section 196(2)?</p> <p>Whether the IPA has modified any provision in the Bye-laws during the year?</p> <p>Whether approval of the IBBI for change in bye- laws has been obtained?</p>	<p>Yes</p> <p>Yes, the Governing Board at its meeting held on 15<sup>th</sup> September, 2021 approved modification in bye laws of ICSI IIP.</p> <p>On 22<sup>nd</sup> September, 2021 the modified bye laws were sent to IBBI for its</p>			

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				approval. The approval is pending.			
<b>Part B: Compliances with Provisions of the Insolvency and Bankruptcy Board of India(Insolvency Professional Agencies) Regulations, 2016</b>							
9.	3	YES	Whether the IPA is a company registered under Section 8 of the Companies Act, 2013?	Yes			
10.	3(a)	YES	Whether the IPA's sole object is to carry on the functions of an insolvency professional agency under the Code?	Yes			
11.	3(b)	YES	Whether the IPA has its bye-laws and governance structure in accordance with the Insolvency and Bankruptcy Board of India	Yes			

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			(Model Bye-Laws and Governing Board of Insolvency Professional Agencies), 2016?				
12.	3(c)	YES	<p>Whether the IPA has a minimum net worth of ten crore rupees as on 31<sup>st</sup> March, 2022?</p> <p>What is the net worth of the IPA as on 31<sup>st</sup> March, 2022?</p>	<p>Yes</p> <p>Rs.14,77,95,000/-</p> <p><i>(Tentative Net worth, as per provisional &amp; unaudited Statement of Accounts as on 31-03-2022)</i></p>			
13.	3(d)	YES	<p>Whether the IPA has a paid-upshare capital of five crore rupees as on 31<sup>st</sup> March, 2022?</p> <p>What is the paid-up share capital of the IPA as on</p>	<p>Yes</p> <p>Rs.14,00,00,000/-</p>			

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			31 <sup>st</sup> March, 2022?				
14.	3(e)	YES	<p>Whether the IPA is under the control of person(s) resident outside India?</p> <p>List out the names of persons who are in control of the IPA as on 31<sup>st</sup>March,2022?</p>	<p>No</p> <p>100% shareholding by Institute of Company Secretaries of India (A statutory body under the act of Parliament)</p>			
15.	3(f)	YES	<p>Whether more than 49% of its share capital is held, directly or indirectly, by persons resident outside India?</p> <p>What is the share of resident Indians in shareholding of the IPA as on 31<sup>st</sup> March, 2022.</p>	<p>No</p> <p>100% by Institute of Company Secretaries of India (A statutory body under the act of Parliament)</p>			

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16.	3(g)	YES	Whether the IPA is a subsidiary of a body corporate through more than one layer?	No			
17.	3(h)	YES	<p>Whether the IPA is fit and proper person?</p> <p>Whether the promoters of the IPA are fit and proper persons?</p> <p>Whether the directors of the IPA are fit and proper persons?</p> <p>Whether every person holding more than 10% of its share capital as on 31<sup>st</sup> March, 2022 is a fit and Proper person?</p>	<p>Yes</p> <p>Yes</p> <p>Yes</p> <p>Yes</p>			

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18.	5(1)(b)	YES	<p>Does the IPA have adequate infrastructure to perform its functions under the Code?</p> <p>Provide brief details of infrastructure as on 31<sup>st</sup> March, 2022..in terms of office premises, computing resources and human resources.</p>	<p>Yes</p> <p>The IT infrastructure, office space and meeting room are shared with ICSI as on 31/03/2022</p> <p>There were 20 employees (including CFO on deputation from ICSI) working with ICSI IIP as on 31/03/2022: 1 MD, 1 CFO (on deputation from ICSI), 11 permanent employees, 4 Research Associates, 1 Junior Assistant (Accounts), 1 CS Management Trainee and 1 Office Assistant.</p>			
19.	5(1)(c)	YES	Does the IPA have a Managing Director?	<p>Yes</p> <p>However, Dr. Binoy J Kattadiyil was not associated with ICSI IIP w.e.f 1<sup>st</sup> April, 2022. And</p>			

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			<p>Does the IPA have a Compliance Officer?</p> <p>How many persons with professional and relevant experience are in employment of the IPA?</p>	<p>w.e.f 1<sup>st</sup> April, 2022 ICSI IIP does not have a managing Director and CS Alka Kapoor is handling the charge as Chief operating officer (Designate). The vacancy for the post of MD is being filled.</p> <p>Yes</p> <p>18 MD, CFO, permanent employees and Research Associates of ICSI IIP have professional and relevant experience. The junior assistant is also well versed in his area.</p>			
20.	5(2)(a)	YES	Any contravention of the Code, rules, regulations or guidelines noticed by the IPA during the year?	No			

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21.	5(2)(b)	YES	How many people are engaged full time to ensure Compliances?	2 (Two) [1 Deputy Director and 1 Executive]			
22.	5(2)(c)	YES	When did the IPA pay fee for 2021-22?  What is the amount paid?  Whether the fee paid was within fifteen days from the commencement of financial year?	On 27-05-2021 through RTGS (UTR No. ICICR52021052700557682) ICSI IIP paid Rs.5,90,000/- to IBBI. The fees was renewal fees as per Regulation 5 of IBBI (IPA) Regulations, 2016.  No annual fees was paid during the year 2021-22 as stated under the above said Regulations.			
23.	5(2)(d)	YES	How many persons hold more than 10% share capital, directly or indirectly, of the IPA?	1 (One) The Institute of Company Secretaries of India			
24.	5(2)(e)	YES	How many grievances did the IPA receive during the Year ?	4 (Four)			



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25.	5 (3)	YES	Is the certificate of registration valid as on 31 <sup>st</sup> March, 2021?  When is the renewal of registration due?	Yes  27.11.2026			
<b>Part C: Compliances with Insolvency and Bankruptcy Board of India (Model Bye-Laws and Governing Board of Insolvency Professional Agencies) Regulations, 2016</b>							
26.	3(2)	YES	Whether the bye-laws provide for all matters specified in the model bye-laws?	Yes			
27.	3(3)	YES	Whether the bye-laws of the IPA are inconsistent with the model bye-laws?  Whether there has been any change in the bye-laws during the year?	No  Yes, (the Governing Board at its meeting held on 15 <sup>th</sup> September, 2021 approved modification in bye laws of ICSI IIP)			

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28.	3(4)	YES	<p>Whether the IPA has published its bye-laws on its website? When was this published?</p> <p>Whether the IPA has published composition of all its committees formed under the bye-laws on its website? When was this last updated?</p> <p>Whether the IPA has published all its policies created under the bye- laws on its website? When was it published for the first time? When was it updated last?</p>	<p>Yes Since the launch of the website.</p> <p>Yes, The composition was last updated on 10<sup>th</sup> March, 2022. <a href="https://icsiip.in/document/s/composition-2021.pdf">https://icsiip.in/document/s/composition-2021.pdf</a></p> <p>Yes, the policies were published since the launch of website (February 2017). the link to view the policies: <a href="https://icsiip.in/policies-of-icsi-iip.php">https://icsiip.in/policies-of-icsi-iip.php</a></p> <table><tr><th>Name of Policy</th><th>Date of last updation</th><th></th></tr><tr><td>Disciplinary Policy</td><td>07<sup>th</sup> June, 2020</td><td></td></tr><tr><td>Grievance Redressal Policy</td><td>15<sup>th</sup> September, 2021</td><td></td></tr><tr><td>Monitoring</td><td>24th January,</td><td></td></tr></table>	Name of Policy	Date of last updation		Disciplinary Policy	07 <sup>th</sup> June, 2020		Grievance Redressal Policy	15 <sup>th</sup> September, 2021		Monitoring	24th January,				
Name of Policy	Date of last updation																		
Disciplinary Policy	07 <sup>th</sup> June, 2020																		
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				ng Policy	2022				
				Refund Policy	Not modified during the FY				
				Data retention and management policy	23 <sup>rd</sup> September, 2020				
				Inspection policy	24th August, 2021				
29.	4(1)	YES	Whether the IPA amended its bye- laws during the year?  Did it comply with the process for amendment provided in the Regulations?	Yes          Yes					
30.	5(1)	YES	Whether the Governing Board of the IPA had a minimum of seven directors throughout the year?	Yes List of directors: Prem Kumar MalhotraChairman & Independent Director Ashish kumar Manilal ChauhanIndependent Director Gopal Krishan AgarwalIndependent Director Ashish Garg (ceased to be director with effect fromNominee Director					

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			How many meetings of the Governing Board were held during the year?	<div>19/01/2022)</div> <div>Nagendra Dattathreya Rao (ceased to be director with effect from 19/01/2022)</div> <div>Nominee Director</div> <div>Devendra Vasant Deshpande</div> <div>Nominee Director</div> <div>Binoy Joy (ceased to be director with effect from 31<sup>st</sup> March, 2022)</div> <div>Managing Director</div> <div>NPS Chawla</div> <div>Nominee Director</div> <div>Manish Gupta</div> <div>Nominee Director</div> <div>4</div> <div>Following are the dates of board meetings:</div> <div>28<sup>th</sup> June, 2021;</div> <div>15<sup>th</sup> September, 2021 14<sup>th</sup> December, 2021 and 29<sup>th</sup> March, 2022</div>			

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			<p>How many members of the Governing Board attended all meetings during the year?</p> <p>How many members did not attend 50% of the meetings during the year? Please name them.</p>	<p>1. Mr. Prem Kumar Malhotra</p> <p>2. Mr. Ashishkumar Chauhan,</p> <p>3. Mr. Gopal Krishan Agarwal</p> <p>4. Mr. Binoy J. Kattadiyil</p> <p>Nil</p>			
31.	5(2)	YES	Whether managing director is holding position of either independent director or a shareholder director?	No			

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32.	5(4)	YES	Whether more than half of the directors of the IPA are persons resident in India throughout the year?  How many directors are non-resident Indians as on 31 <sup>st</sup> March, 2022?	Yes  NIL			
33.	5(5)	YES	Whether the numbers of independent directors are less than the number of shareholder directors?  Whether atleast one independent director was present in all the meetings of the Governing Board conducted during a year?	No  Yes			
34.	5(11)	YES	Whether more than one fourth of the directors are insolvency professionals at any time during the year?  How many directors of the IPA are insolvency	No  2 (two) Mr. NPS Chawla & Mr.			

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			professionals?  How many independent directors are insolvency professionals as on 31 <sup>st</sup> March 2021?	Mr. Manish Gupta  0 (Zero)			
35.	5(12)	YES	Is the Chairperson of the Governing Board an independent director throughout the year?  How many meetings of the Governing Board did the Chairperson of the Governing Board chair during the year?	Yes  The chairperson chaired all the four meetings held during the year.			
<b>Part D: Compliance with the Model Bye-laws of an Insolvency Professional Agency</b>							
36.	5(2)	YES	Did the IPA carry on any function or activity other than activities and functions of an IPA during the year?	No			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
37.	6(1)	YES	Whether IPA has maintained high ethical and professional standards in the regulation of its professional members during the year?	Yes			
38.	6(2)(a)	YES	<p>Whether the IPA has ensured compliance with the Code and rules, regulations and guidelines issued there under governing the conduct of insolvency professional agencies during the year?</p> <p>Whether the IPA has ensured compliance with the Code and rules, regulations and guidelines issued there under governing the conduct of insolvency professionals during the year?</p>	<p>Yes</p> <p>Yes, ICSI IIP has been directing all its members to comply with the Code, Rules and Regulations made there under etc. and specifically with regard to compliance with the guidelines,</p> <ul style="list-style-type: none"> <li>- disclosures requirement, charging of reasonable fees,</li> </ul>			



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			Did any non-compliance come to the notice of the IPA?	<ul style="list-style-type: none"> <li>- handling of reasonable number of assignments, commensurate with the resources available through compliance calendars, programmes, webinars,</li> <li>- Communication through mails etc.</li> </ul> <p>Yes, in some cases. For which letters were written to the concerned members.</p>			
39.	6(2)(b)	YES	Whether the IPA has employed fair, reasonable, just, and non-discriminatory practices for the enrolment and regulation of its professional members?	Yes, ICSI IIP has a Standard Operating Procedure for the enrolment of IPs, which is available at ICSI IIP's website also.			
40.	6(2)(c)	YES	How many directions the	Directions have been			

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			IPA has issued to its professional members during the year?	<p>issued to IPs during the year which includes the following:</p> <ul style="list-style-type: none"> <li>- Common errors observed during inspection of IPs</li> <li>- Common errors observed from filing of CIRP forms</li> <li>- feature of modification of CIRP forms</li> <li>- submission of half yearly returns</li> <li>- submission of CIRP forms</li> <li>- submission of disclosures</li> <li>- submission of Form E at IBBI portal</li> <li>- Filing of AFA</li> <li>- submission of liquidation details to</li> </ul>			

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				<p>ICSI IIP through google forms</p> <ul style="list-style-type: none"> <li>- payment of membership fees to ICSI IIP</li> <li>- Preservation of records through Information Utility</li> <li>- Use of services of IU</li> <li>- Appointment of registered valuers in the assignments</li> <li>- Updates and briefs of latest amendment in the code and regulations</li> <li>- Best practices for insolvency profession</li> <li>- Specific directions to IPs on the basis of monitoring.</li> <li>- Submission of liquidation details</li> <li>- Submission of</li> </ul>			

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			Whether the IPA has ensured compliance with the directions issued to its – professional members.	<p>annual declarations</p> <ul style="list-style-type: none"> <li>- Compliance of IBBI circulars</li> <li>- Specific directions to IPs on the basis of monitoring.</li> </ul> <p>Yes, regular follow up with IPs have been done and the list of IPs who have not responded is available at our website.</p>			
41.	6(2)(d)	YES	<p>How many programmes did the IPA organize during the year to develop the profession of IPs:</p> <ul style="list-style-type: none"> <li>a. Seminars /Conferences</li> <li>b. Workshops</li> <li>c. Training</li> </ul>	<p>The details are as follows:</p> <p>Webinars: 3 Nos. Round-Table Discussions: 8 Nos. Pre-Educational Trainings: 13 Nos.</p>			

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			<p>How many IPs benefited from / participated in these programmes during the year?</p>	<p>Workshops: 44 Nos. LIE Training: 6 Nos. Other Trainings: 8 Nos.</p> <p>Generally, the feedback of IPs who attended such seminars, trainings, webinars and conferences was positive.</p> <p>The programmes organized by ICSI IIP were not mandatory in nature. The details are enclosed as <b>Annexure-I</b>.</p> <p>In total 6338 Members benefited from the program. 638 Nos. IP's who applied for CPE Hours</p>			

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			How many IPs did not participate in any of the programmes organized by the IPA?	463 Nos IP's did not undergo/ applied the CPE			
42.	6(2)(e)	YES	<p>How many programmes did the IPA organise for continuing professional development of the profession of IPs during the year:</p> <p>a. Seminars /Conferences b. Workshops c. Training</p> <p>How many IPs benefited from / participated in these programmes during the year?</p> <p>How many IPs did not participate in any of the programmes organised by the IPA?</p>	<p>ICSI IIP organized 48 Nos. CPE Workshops/ Training Programs during the period. The details are provided in the Annexure 1</p> <p>The programs organized by ICSI IIP were not mandatory in nature.</p> <p>In total 6338 Members benefited from the program. 638 Nos. IP's who applied for CPE Hours</p> <p>463 Nos IP's did not undergo/ applied the CPE</p>			

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			Are the programmes in row 41 different from the programmes under row 42	Yes			
43.	6(2)(f)	YES	What is the specific intervention the IPA did to ensure high standards of professional and ethical conduct of its members?	Apart from monitoring the professional members through desktop monitoring and inspections, ICSI IIP through its programs, publications, training programs, and webinars sensitizes its members on the Code of Conduct, conduct of CIRP, disclosure mandates etc. aimed at ensuring high standard of professional and ethical conduct. It also facilitated direct interface of IPs with Regulators and Adjudicating Authorities in this regard through programs. ICSI IIP is also			

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				<p>in the process of forming guidelines in the form of Statements of Practice for Insolvency Professionals to ensure ethical standards.</p> <p>To guide its members to perform their duties effectively and efficiently, ICSI IIP has catered the following <i>publications (with updated editions)</i> during the year:</p> <p>Insolvency and Bankruptcy Code, 2016 – With Rules &amp; Regulations, 7<sup>th</sup> edition</p> <p>2. Interim Resolution Professional- A Handbook, 3<sup>rd</sup> Edition</p> <p>2. Voluntary Liquidation- A Handbook</p> <p>3. Practical Aspects of Insolvency Law, 4<sup>th</sup> Edition</p>			



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				<p>4. 100 landmark NCLAT judgments, 1<sup>st</sup> and 2<sup>nd</sup> edition</p> <p>5. IBC Judicial/Regulatory Rulings for Stakeholders, 2<sup>nd</sup> Edition</p> <p>7. Final Word on IBC, 1<sup>st</sup> Edition</p> <p>8. Learning Curves Book, 1<sup>st</sup> Edition</p> <p>9. LIE Preparation Guide, 1<sup>st</sup> Edition</p> <p>ICSI IIP is also the first organization to bring out a monthly Professional <b><i>Journal on Insolvency and Bankruptcy Law called “Resolve”</i></b> covering articles, important judgment, policy updates, and international development.</p>			

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				<p><i>Daily learning curves</i> are being sent by ICSI IIP to all the IPs which is the crux of judicial interpretations and pronouncements along with updates under Indian Insolvency Law. Till now, we have sent <b>735</b> learning curves.</p> <p><i>IBC Knowledge Capsule</i> is a fortnightly capsule sent to the members containing practical issues and guidelines and charts for ease in compliances and conducting the process of insolvency. We have sent <b>28</b> capsules so far.</p> <p>Statements of Best Practices were also published on <i>Avoidance Transactions and COC</i></p>			

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			Did the ethical standards of its members improve during the year? What is the matrix used to indicate improvement?	<p>meetings.</p> <p>Performance Analysis Reports have been prepared for three companies that have undergone CIRP.</p> <p>ICSI IIP through desktop monitoring, inspections, receipt of complaints/grievances etc. monitor the performance of IPs and it can be seen that IPs have become more aware about the conduct and compliances.</p>			

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44.	6(2)(g)	YES	What details did the IPA provided to the Board.	<p>ICSI IIP provides following details in every monthly meeting of CEOs of IPAs conducted by IBBI:</p> <ul style="list-style-type: none"> <li>- Awareness programs conducted during the month- Webinar, Seminar, and Roundtable, workshops etc.</li> <li>- Monthly certificates on disclosure of relationship,</li> <li>- cost and CIRP forms including the desktop monitoring</li> </ul> <p>Details of inspections conducted during the month</p> <ul style="list-style-type: none"> <li>- Status of grievance and</li> </ul>			

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				<p>disciplinary cases</p> <ul style="list-style-type: none"> <li>- Status of AFA</li> <li>- Status of CPE</li> <li>- status of half yearly returns</li> <li>- Status of Compliance of Model Bye-Laws</li> <li>- Details of assignments handled by IPs.</li> <li>- Details regarding IPs who are not complying with disclosure requirements</li> <li>- Complaints received against IPs.</li> <li>- Information w.r.t closure of complaints/grievance</li> </ul>			

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				<ul style="list-style-type: none"> <li>- Details about Publications brought on IBC</li> <li>- Maintenance of Professional Member Directory</li> <li>- Monitoring status of IPs</li> <li>- DC orders</li> </ul> <p>2. Change in composition of Board and committees, if any</p> <p>3.Change in MOA, AOA, if any</p> <p>4.Change in the bye laws of ICSIIP, if any</p> <p>5. Change in the policies of ICSIIP, if any</p> <p>6 Any other information as requested by IBBI</p>			

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45.	7(1)	YES	<p>Whether any advisory committee has been constituted?</p> <p>How many times the Committee met during the year?</p> <p>Whether the advices of the Advisory Committee were considered by the Governing Board of the IPA?</p> <p>Which specific advice, if any, was rejected by the Governing Board?</p>	<p>Yes</p> <p>2 (Two) There were two Advisory Committee Meetings conducted during the year, i.e. 6<sup>th</sup> and 7<sup>th</sup> meeting in August 2021 and January 2022 respectively.</p> <p>During the year under review, no decision of Advisory committee was required to send for the approval of Governing Board.</p> <p>Not applicable</p>			

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46.	8(1)(a)	YES	<p>How many Membership Committees did the IPA constitute during the year?</p> <p>How many meetings the Committee(s) had during the year?</p> <p>Whether the Chairpersons of the Committee(s) are independent directors of the IPA?</p>	<p>One</p> <p>4 (Four) meetings were held on the following dates: 13<sup>th</sup> April, 2021, 15<sup>th</sup> July, 2021, 21<sup>st</sup> December, 2021 and 27<sup>th</sup> December, 2022</p> <p>Yes (Mr. Ashish kumar Chauhan)</p>			
47.	8(1)(b)	YES	<p>Whether the IPA has constituted the Monitoring Committee?</p> <p>How many meetings the Committee had during the year?</p>	<p>Yes</p> <p>3 (24<sup>th</sup> August, 2021, 21<sup>st</sup> September, 2021 and 17<sup>th</sup> January, 2022)</p>			



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			Whether the Chairperson of the Committee is an independent director of the IPA?	Yes (Mr. PK Malhotra)			
48.	8(1)(c)	YES	<p>How many Grievance Redressal Committees did the IPA constitute during the year?</p> <p>How many meetings the Committee(s) had during the year?</p> <p>Whether the Chairperson(s) of the Committee(s) are</p>	<p>1 (One)</p> <p>3 (Three) Following are the dates:</p> <ul style="list-style-type: none"> <li>• 13.07.2021 and 23.07.2021 (Adjourned Meeting)</li> <li>• 21.09.2021</li> <li>• 17.02.2022</li> </ul> <p>Yes</p>			

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			<p>independent directors of the IPA?</p> <p>Did each of the Committees have at least one professional member at all times during the year?</p> <p>Please write the names of professional members who was/ were member of the Committee during the year.</p>	<p>Yes</p> <p>CA Sanjeev Ahuja CS Shyam Agarwal</p>			
49.	8(1)(d)	YES	<p>How many Disciplinary Committees did the IPA constitute during the year?</p> <p>How many meetings the Committee(s) had during the year?</p> <p>Whether the Chairpersons of the Committee(s) are independent directors of the IPA?</p>	<p>1 21<sup>st</sup> March 2022: reconstituted</p> <p>Four (4)</p> <ul style="list-style-type: none"> <li>• 23<sup>rd</sup> April 2021</li> <li>• 09<sup>th</sup> August 2021</li> <li>• 24<sup>th</sup> November 2021</li> </ul>			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			Did the nominee of the IBBI attend all meetings of the Committee?	<ul style="list-style-type: none"> <li>17<sup>th</sup> December 2021</li> </ul> Yes Yes			
50.	9	YES	<p>How many members were enrolled during the year?</p> <p>How many of them were eligible for enrolment?</p> <p>Whether Governing Board provided additional eligibility requirement for enrolment as a professional member?</p>	<p>100</p> <p>100</p> <p>No (No such recommendation has been made by the governing board)</p>			
51.	10	YES	<p>Number of applications received</p> <p>Number of applications</p>	137 (124+13) (during 1 <sup>st</sup> April 2021 to 31 <sup>st</sup> March 2022, 13 applications carried forward from previous financial year)			

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			accepted	100			
			Number of applications rejected	5			
			Number of applications pending	17(Please note that 15 applications have been withdrawn by the applicant citing personal reasons)			
				1072			
			Number of professional members as on beginning of financial year	1148			
			Number of professional members as on close of financial year	100			
			Number of new members enrolled during the year	1 members IPA-ICAI			
			Number of members who left the IPA during the year	transferred to ICSI IIP and 2 member left the IPA.			

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52.	10(8)	YES	How many appeals did the Membership Committee receive during the year?	2 (Two)			
53.	10(9)	YES	How many appeals did the Membership Committee dispose of during the year?  How many appeals did the Membership Committee dispose of within 30 days of receipt of the appeal?	6 (Six)  5 (Five)			
54.	11	YES	What is the fixed sum of money charged annually from each professional member?	ICSI IIP charges Annual Membership Fee from its members as follows:  Member applying for enrolment b/w 1st April to 30th September of a			

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			How many members did not pay the membership fee?	<p>Financial Year: Rs. 10,000 + 18%GST.</p> <p>Member applying for enrolment b/w 1st October to 31st March of a Financial Year: Rs. 5,000 + 18%GST.</p> <p>(After this, for subsequent Financial Years, Annual Membership Fee of Rs. 10,000 + 18% GST is charged from every IP)</p> <p>216</p> <p>Reminders emails have been sent to all the Insolvency professionals and regular follow up is being done.</p>			

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				Further, no AFA is issued to the defaulters.			
55.	12(1)	YES	Whether the IPA has a register of its professional members containing their particulars as per clause 12(1)?	Yes			
56.	12A.	YES	<p>How many applications received for issue of AFA?</p> <p>How many applications received for renewal of AFA?</p> <p>How many AFAs issued?</p> <p>How many authorization of assignments were not issued, renewed or rejected by the Agency within 15 days of the date of receipt of application and were deemed to have been issued by the Agency?</p> <p>How many appeals received</p>	<p>116</p> <p>639</p> <p>725</p> <p>0</p>			

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			<p>against an order of rejection of AFA by the Agency?</p> <p>How many orders were passed by the Membership Committee?</p> <p>How many orders were not passed within 15 days by the Membership Committee?</p>	<p>2</p> <p>2</p> <p>0</p>			
57.	12(A)(2)	YES	Does the IPA have a mechanism for monitoring the CPE undertaken by the members?	Yes, for the purposes of processing CPE applications (filed by our members), we have a mechanism in place, whereby, after verify attendance/participation of the applicant in the particular learning activity (mentioned in their application) from either our own records (in cases where the learning activity is organised by ICSI IIP) or from records made			



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			<p>How many members did the mandatory CPE as provided in the regulations/Guidelines?</p> <p>How many members did not undergo the mandatory CPE as provided in the Regulations/Guidelines?</p>	<p>available by the organizing body (IBBI/IPAs/RVOs)</p> <p>638 Nos. IP's who applied for CPE Hours</p> <p><i>Details of applications:</i>  <i>No. of CPE applications approved: 3274</i>  <i>No. of CPE applications rejected: 323</i>  <i>No. of CPE applications pending: 62</i></p> <p>463 Nos IP's did not undergo/ applied the CPE</p>			
58.	14	YES	Whether the IPA has a Code of Conduct that is consistent with and provides for all matters in the Code of Conduct as	Yes			

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			specified in the Insolvency and Bankruptcy Board of India (Insolvency Professionals) Regulations, 2016?  How many members violated any provision of the Code of Conduct during the year?	7 orders issued by DC			
59.	15	YES	Whether the IPA has a Monitoring Policy to monitor the professional activities and conduct of professional members for their adherence to the provisions of the Code?	Yes, and the same is available on the website of ICSI IIP. <a href="https://icsiip.in/panel/assets/images/policies_iip/16436085322352Revised%20Monitoring%20Policy_ICSI%20IIP.pdf">https://icsiip.in/panel/assets/images/policies_iip/16436085322352Revised%20Monitoring%20Policy_ICSI%20IIP.pdf</a>			
60.	16	YES	Whether the IPA has prescribed the manner and format for collecting information from professional members as IPs, including records of ongoing and concluded	Yes, half yearly return format is available in the monitoring policy of the Company.			

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			<p>engagements?</p> <p>Did the IPA receive information from all members as required above?</p> <p>How many members submitted information in the prescribed format at least twice during the year?</p> <p>Has the IPA taken appropriate action against those members who did not submit the information?</p>	<p>No</p> <p>925 IPs submitted the information out of 1059 for the half yearly return ended on 30<sup>th</sup> September, 2021</p> <p>790 IPs submitted the information out of 1101 For the half yearly return ended on 31<sup>st</sup> March, 2022</p> <p>Late filing fees has been imposed on the IPs and letters seeking explanations are being sent.</p>			

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61.	17	YES	Did the Monitoring Committee review the information and records submitted by the members?	Yes			
62.	18	YES	<p>Did the Monitoring Committee evaluate the performance of members?</p> <p>What is the assessment of the performance of the members, according to the Monitoring Committee?</p>	<p>Yes, the Monitoring Committee on regular basis monitor and evaluate the performance of members and take appropriate action.</p> <p>The parameters used for monitoring the performance of members are:</p> <ul style="list-style-type: none"> <li>• Inspection</li> <li>• Desktop monitoring</li> <li>• Submission of AFA applications</li> <li>• Submission of CIRP forms</li> <li>• Submission of disclosures by IPs</li> </ul>			

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				<ul style="list-style-type: none"> <li>• Submission of half yearly returns</li> <li>• Submission of public announcement at IBBI website</li> <li>• Submission of Liquidation/Voluntary Liquidation details through google forms</li> <li>• Adjudicating Authority/NCLAT/ Supreme Court orders</li> <li>• Show cause notices/Orders issued by IBBI</li> <li>• Grievances received against professional members</li> </ul>			

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				Further, the implementation of evaluation criteria is also under process.			
63.	20	YES	Whether the IPA has submitted a report to the IBBI?	Yes, report on relationship, cost, CIRP forms is regularly being forwarded to IBBI.			
64.	21(1)	YES	Whether the IPA has a Grievance Redressal Policy providing the procedure for receiving, processing, redressing and disclosing grievances against the Agency or any professional member of the Agency?  Have the details of grievances been disclosed during the year?	Yes  Yes			

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65.	21(2)	YES	<p>No. of grievances received:</p> <p>No. of grievances dismissed:</p> <p>No. of grievances where mediation was initiated between parties for redressal of grievance:</p> <p>No. of matters referred to Disciplinary Committee:</p>	<p>4 (Four) grievances were received during the year)</p> <p>3 (Three)</p> <p>0 (Zero)</p> <p>0 (Zero)</p>			
66.	23	YES	<p>How many disciplinary proceedings were initiated by the IPA based on reference made by the Grievance Redressal Committee during the year?</p> <p>How many of them have</p>	<p>NIL</p> <p>NIL</p>			

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			<p>been disposed of?</p> <p>How many disciplinary proceedings were initiated by the IPA based on monitoring of professional members during the year?</p> <p>How many of them have been disposed of?</p> <p>How many disciplinary proceedings were initiated by the IPA based on directions of the IBBI or any Court of Law during the year?</p> <p>How many of them have been disposed of?</p> <p>How many disciplinary proceedings were initiated by the IPA suo-moto during the year?</p>	<p>5</p> <p>5</p> <p>NIL</p> <p>NIL</p> <p>1</p>			



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			<p>How many of them have been disposed of?</p> <p>How many disciplinary proceedings were initiated by the IPA based on action taken against the member by the parent institute- CA, CWA, CS, Bar Council for professional misconduct or any other action initiated by an enforcement agency like CBI, ED etc.</p> <p>How many of them have been disposed of?</p>	<p>0</p> <p>NIL</p> <p>NIL</p>			
67.	24(4)	YES	Whether all orders passed by the Disciplinary Committee have been placed on the website of the IPA within seven days from passing of the said order?	Yes			

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68.	24(5)	YES	<p>How much monetary penalty was imposed by the Disciplinary Committee during the year?</p> <p>How much of penalty imposed has been realized during the year?</p> <p>What is the highest penalty imposed on a member?</p>	<p>INR 4,20,000</p> <p>INR 2,20,000</p> <p>INR 2,00,000</p>			
69.	25(1)	YES	<p>Whether the Governing Board of the IPA has constituted an Appellate Panel, as required?</p> <p>How many members are common to the Disciplinary Committee and the Appellate Panel?</p> <p>How many meetings of the Appellate Panel were held during the year?</p> <p>Did the IBBI Nominee</p>	<p>Yes (the appellate panel was re-constituted on 26.08.2020)</p> <p>0 (Zero)</p> <p>1 (one) (held on 12.04.2021)</p>			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			attend all the meetings of the Appellate Panel?	Yes			
70.	25(2)	YES	<p>How many appeals were received by the Appellate Panel during the year?</p> <p>How many appeals were disposed of by the Appellate Panel during the year?</p> <p>How many appeals were disposed of after 30 days of the receipt to of the appeal?</p> <p>How many appeals were dismissed?</p> <p>How many appeals are pending for disposal as on 31<sup>st</sup> March, 2021.</p>	<p>0 (Zero)</p> <p>1 (One) Appeal received on 25th March 2021</p> <p>None</p> <p>None</p> <p>None</p>			
71.	26	YES	How many members applied for surrender of Authorization for Assignment during the year?	3			

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			Whether the details regarding applications accepted for surrender of AFA, intimated to the IBBI within one day?	Yes			
72.	27	YES	<p>How many applications were received for surrender of membership during year?</p> <p>How many applications were accepted?</p> <p>How many applications rejected?</p> <p>How many applications are pending, if any, as on 31<sup>st</sup> March, 2020?</p>	<p>21 applications were received during the year for permanent surrender</p> <p>10 were accepted after completion of pending compliances</p> <p>None</p> <p>11 applications were pending as on 31st March, 2022.Applications were pending as the IPs were informed to complete their pending compliances and submit the original certificates before their</p>			

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				acceptance of surrender.			
73.	30	YES	How many members were expelled by the IPA during the year?	NIL			
74.	Circular 1 IP/001/2018 (Insolvency professional to use Registration Number and Registered Address in all his communications)	PARTIALLY	How many members complied with the circular during the year?  How many members did not comply with the circular?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members ( <i>out of 1101 members</i> ) submitted the annual declaration form and out of 497 members 496 members complied with the circular.  1 member did not comply with the circular. 604			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			Have you taken action against the members who did not comply with the circular?	members did not submit the response.  Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response have not been received.			
75.	Circular 2 IP/002/2018 (Insolvency professional to ensure compliance with provisions of the applicable laws)	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members 495 members complied with			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>the circular.</p> <p>2 members did not comply with the circular. 604 members did not submit the response.</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response have not been received.</p>			
76.	Circular 3 IP/003/2018 Insolvency professional not to outsource his responsibilities)	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members 496 members complied with the circular.</p> <p>1 member did not comply with the circular. 604 members did not submit the response.</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response have not been received.</p>			
77.	Circular 4 (Fees payable to an IP)	PARTIALLY	How many members complied with the circular during the year?	<p>All the members (except 39 members).</p> <p>39 members</p>			



Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?	Reminders, warning letters, letters stating why SCN shall not be issued have been sent.			
78.	Circular 5 IP/005/2018 (Disclosures by Insolvency Professionals and other Professionals appointed by Insolvency Professionals conducting Resolution Processes)	PARTIALLY	How many members complied with the circular during the year?  How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?	All the members (except 11 members).  11 members  Reminders, warning letters, letters stating why SCN shall not be issued have been sent.			
79.	Circular 6 IP(CIRP)/06/2018	-	How many members complied with the circular during the year?	Not pertains to ICSI IIP			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
	(Designated website for publishing Forms)		How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?				
80.	Circular 7 IP(CIRP)/07/2018 (Confidentiality of Information relating to processes under the IBC 2016)	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members all the members complied with the circular.			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>All the members complied with the circular. 604 members did not submit the response.</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response has not been received.</p>			
81.	Circular 8 IPE/008/2018 (compliance with IP regulations)	NA	<p>How many members complied with the circular during the year?</p> <p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	Pertains to IPE			
82.	Circular 9 IPA/009/2018 (Annual	YES	How many members complied with the circular during the year?	The circular pertains to ICSI IIP only, which has been complied well within timelines mentioned.			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
	compliance certificate for IPAs)		How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?				
83.	Circular 10 LA/010/2018 (Commencement of Disciplinary Proceeding)	YES	How many members complied with the circular during the year?  How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?	All  NA  NA			
84.	Circular 11 IPA/011/2018 (PREC)	YES	How many members complied with the circular during the year?  How many members did not comply with the circular?  Have you taken action against	The circular pertains to ICSI IIP only, which has been complied well within timelines mentioned.			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			the members who did not comply with the circular?				
85.	Circular 12 RVO/12/2018 Valuer	NA	<p>How many members complied with the circular during the year?</p> <p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	Not pertains to ICSI IIP or its members			
86.	Circular 13 IBBI/IP/013/2018 (cost disclosure)	PARTIALLY	<p>How many members complied with the circular during the year?</p> <p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>All the members (except 39 members).</p> <p>39 members</p> <p>Reminders, warning letters, letters stating why SCN shall not be issued have been sent.</p>			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
87.	Circular 14 IBBI/IPE/014/2018 (Empanelment of Insolvency Professional Entities)	-	How many members complied with the circular during the year?  How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?	Not pertains to ICSI IIP or its members			
88.	Circular 15 IBBI/CIRP/015/2018 (Appointment of AR for class of creditors)	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members, 495			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>members complied with the circular.</p> <p>2 members did not comply with the circular. 604 members did not submit the response.</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response have not been received.</p>			
89.	Circular 16 IBBI/CIRP/016/2018 (Notice for Meetings of the Committee of Creditors)	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>(out of 1101 members) submitted the annual declaration form and out of 497 members, 496 members complied with the circular.</p> <p>1 member did not comply with the circular. 604 members did not submit the response.</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response have not been received.</p>			
90.	Circular 17 - IBBI/IPE/017/2018 Compliance of regulation 13 (2) IP Regulations)		<p>How many members complied with the circular during the year?</p> <p>How many members did not comply with the circular?</p>	Not pertains to ICSI IIP or its members			



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Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			Have you taken action against the members who did not comply with the circular?	Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response has not been received.			
92.	Circular 19 IBBI/RV/0 19/2018 (Valuation under the Insolvency and Bankruptcy Code, 2016),	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members, 494 members complied with the circular.			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?	3 members did not comply with the circular. 604 members did not submit the response.  Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response has not been received.			
93.	Circular 20 IBBI/IP/020/2019 Compliance with regulations 7 (2) (ca) and 13 (2) (ca) of IP Regulations	PARTIALLY	How many members complied with the circular during the year?  How many members did not comply with the circular?  Have you taken action against the members who did not	All the members except 9 members for FY 2018-19, 58 for the FY 19-20, 154 for the FY 2020-21, data for the FY 2021-22 is yet to be received from IBBI.  9 members for FY 2018-19, 58 for the FY 19-20, 154 for the FY 2020-21, data for the FY 2021-22 is yet to be received from IBBI.  Reminders, warning letters have been sent.			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			comply with the circular?				
94.	Circular 21 (Temporary Surrender and Revival of Professional Membership of an Insolvency Professional)	YES	<p>How many members complied with the circular during the year?</p> <p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>All (However, the circular became redundant after July, 2019)</p> <p>NA</p> <p>NA</p>			
95.	Circular 22 IBBI/RV/022/2019 (Appointment of Registered Valuer)	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members)			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>submitted the annual declaration form and out of 497 members, 494 members complied with the circular.</p> <p>3 members did not comply with the circular. 604 members did not submit the response.</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response has not been received.</p>			
96.	Circular 23 IBBI/CIRP/023/2019 (Filing of Forms for the purpose of monitoring CIRPs)	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			<p>the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members, all members complied with the circular.</p> <p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>All members complied with the circular. 604 members did not submit the response.</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response have not been received.</p>			
97.	Circular 24 IBBI/LIQ/0 24/2019 (Applicability of	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein			

Sl. No.	Section/ Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
	Liquidation Regulations, notified on 25th July, 2019)		<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members, 496 members complied with the circular.</p> <p>1 member did not comply with the circular. 604 members did not submit the response.</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response has not been received.</p>			

Sl. No.	Section/ Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
98.	Circular 25 IBBI/IU/025/2019 (Statutory Repositories under regulation 21(2)(c)(ii) of Information Utilities Regulations, 2017)	NA	<p>How many members complied with the circular during the year?</p> <p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>				
99.	Circular 26 IBBI/RVO/026/2019 Valuation required under Insolvency and Bankruptcy Code, 2016	YES	<p>How many members complied with the circular during the year?</p> <p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	Only Information circular.			



Sl. No.	Section/ Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
100.	Circular 27 IBBI/LIQ/027/2020 Deposit of unclaimed dividends and / or undistributed proceeds of liquidation process	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members, all members complied with the circular.			
			How many members did not comply with the circular?	All members complied with the circular. 604 members did not submit the response.			
			Have you taken action against	Clarifications have been asked and action will be			

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Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			Have you taken action against the members who did not comply with the circular?	the response.  Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response have not been received.			
102.	Circular 29 IBBI/RVO/029/2020 Transfer of Membership from one RVO to another	NA	How many members complied with the circular during the year?  How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?	Not pertains to ICSI IIP or its members			
103.	Circular 30 IBBI/CIRP/030/2020 Feature for modification of CIRP Forms (including	PARTIALLY	How many members complied with the circular during the year?	The circular is not mandatory in nature.  While desktop monitoring of IPs, ICSI IIP comes across cases where there was a need to modify the forms, ICSI IIP			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
	IP-1 Form)		How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?	communicates the same to the concerned IP and get it done.  NA  NA			
104.	Circular 31 IBBI/IPA/031/2020 (PREC)	PARTIALLY	How many members complied with the circular during the year?  How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?	The circular pertains to ICSI IIP only, which has been complied with			
105.	Circular 32 IBBI/RVO/032/2020 (Educational Course and Continuing	NA	How many members complied with the circular during the year?  How many members did not comply with the circular?	Not pertains to ICSI IIP or its members			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
	Education under the Companies (Registered Valuers and Valuation) Rules, 2017)		Have you taken action against the members who did not comply with the circular?				
106.	Circular 33 - IBBI/RVO/033/2020 Governance Structure of the Registered Valuers Organisation		<p>How many members complied with the circular during the year?</p> <p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	Not pertains to ICSI IIP or its members			
107.	Circular 34 - (IBBI/RVO/34/2020) Circular - Meetings of the Disciplinary Committee		<p>How many members complied with the circular during the year?</p> <p>How many members did not comply with the circular?</p>	Not pertains to ICSI IIP or its members			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
	and Appellate Panel of the RVOs		Have you taken action against the members who did not comply with the circular?				
108.	Circular 35 (IBBI/LAD/35/2020) (Circular - Serving of copy of the application to the Board)		How many members complied with the circular during the year?  How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?	This circular does not pertain to IPs. It is for the applicants.			
109.	Circular 36 (IBBI/CIRP/36/2020) (Circular - Filing of list of creditors)	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members, 495 members complied with the circular.</p> <p>2 members did not comply with the circular. 604 members did not submit the response.</p> <p>Clarifications have been tasked and action will be taken based on the replies received and against those IPs whose response has not been received.</p>			
110.	Circular 37 & 38 (IBBI/CIRP/37/2021) (Circular - Retention of records	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring.			

Sl. No.	Section/ Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
	relating to Corporate Insolvency Resolution Process )		<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members, all members complied with the circular.</p> <p>All members complied with the circular. 604 members did not submit the response</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response have not been received.</p>			
111.	Circular 39 (IBBI/II/39/2021)	NA	How many members complied with the circular during the year?	Not pertains to IPs. It is for applicants.			



Sl. No.	Section/ Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
	(Circular - Providing copy of application to the Board)		How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?				
112.	Circular 40 (IBBI/LIQ/40/2021) (Circular - Filing of list of stakeholders under clause (d) of sub-regulation (5) of regulation 31 of the IBBI (Liquidation Process) Regulations, 2016)	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members, 495 members complied with the circular.			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>2 members did not comply with the circular. 604 members did not submit the response</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response has not been received.</p>			
113.	<p>Circular 41 (IBBI/CIRP/41/2021)</p> <p>(Reporting of status of ongoing corporate insolvency resolution processes (CIRPs) through Form CIRP 7)</p>	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>declaration form and out of 497 members, all members complied with the circular.</p> <p>All members complied with the circular. 604 members did not submit the response</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response have not been received.</p>			
114.	<p>Circular 42 (IBBI/CIRP/42/2021)</p> <p>(Filing of Form CIRP 8 under the IBBI</p>	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
	(Insolvency Resolution Process for Corporate Persons) Regulations, 2016)		<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members, 495 members complied with the circular.</p> <p>2 members did not comply with the circular. 604 members did not submit the response.</p> <p>Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response have not been received.</p>			

Sl. No.	Section/ Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
115.	Circular 43 (IBBI/IPA/43/2021)  (Monetary Penalties to be imposed by an Insolvency Professional Agency.)	PARTIALLY	How many members complied with the circular during the year?  How many members did not comply with the circular?  Have you taken action against the members who did not comply with the circular?	ICSI IIP changed its model bye laws to incorporate the implementation of circular, however approval from IBBI is pending.			
116.	Circular 44 (IBBI/LIQ/44/2021)  (IBBI's Electronic Platform for hosting Public Notices of Auctions of Liquidation Assets under	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members)			

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
	the IBBI (Liquidation Process) Regulations, 2016.)		How many members complied with the circular during the year?	submitted the annual declaration form and out of 497 members, 495 members complied with the circular.  2 members did not comply with the circular. 604 members did not submit the response.  Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response have not been received.			
117.	Circular 45 (IBBI/LIQ/45/2021)  (Clarification regarding requirement of seeking	YES	How many members complied with the circular during the year?  How many members did not comply with the circular?	All the Insolvency professionals noted the clarification.  NA			

[illegible]

Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
			Have you taken action against the members who did not comply with the circular?	the response Clarifications have been asked and action will be taken based on the replies received and against those IPs whose response has not been received.			
119.	Circular 47 (IBBI/CIRP/ 47/2021 (Circular - Filing of list of creditors under clause (ca) of sub-regulation (2) of regulation 13 of the IBBI (Insolvency Resolution Process for Corporate Persons)	PARTIALLY	How many members complied with the circular during the year?	Through desktop monitoring and inspections, we have found out the events of non-compliance wherein specific action will be taken by the monitoring. Further, an annual self-declaration form stating the compliance of all the circulars has been issued by ICSI IIP. 497 members (out of 1101 members) submitted the annual declaration form and out of 497 members, 495 members complied with the circular.			



Sl. No.	Section/Regulation/ Clause	Does the IPA comply with the provision? (NA /YES / NO/ PARTIALLY)	Specific Details	Response to specific details	Reasons for Non-compliance/Partial Compliance	Likely date of Compliance	IBBI's Comments
	Regulations, 2016)		<p>How many members did not comply with the circular?</p> <p>Have you taken action against the members who did not comply with the circular?</p>	<p>2 members did not comply with the circular. 604 members did not submit the response.</p> <p>Clarifications have been tasked and action will be taken based on the replies received and against those IPs whose response has not been received.</p>			

## ANNEXURE 1

Day	Date	Event Type	Event Sub-Head	Event	Platform	CPE	Actual Participants
Monday	05-Apr-2021	Joint Program	MNLU	Online One week Capacity Development Workshop Insolvency Laws (with specific focus on Insolvency and Bankruptcy Code, 2016) 5th April 2021 - 11th April 2021 (MNLU, ICSI, IBBI, ICSI IIP, WIRC of ICSI)	MNLU	21	12
Sunday	11-Apr-2021	LIT UP	9th LIT UP	Training Program   3-Day LIT UP   Online Program   Preparation for Limited Insolvency Examination	ICSI IIP Zoom	-	14
Saturday	17-Apr-2021	Workshop	Workshop	Workshop   Role of Professionals & Committee of Creditors during CIRP & Liquidation   Saturday, April 17, 2021   11:00 AM to 06:00 PM	ICSI IIP Zoom	4	108
Saturday	24-Apr-2021	Workshop	Workshop	Workshop   Pre Pack Paradigm in India   April 24, 2021   10:00 AM - 5:00 PM	ICSI IIP Zoom	4	115
Monday	26-Apr-2021	PREC	42nd PREC	Training Program   42nd Batch   7-Day Pre Registration Educational Course (Virtual)   April 26 - May 02, 2021	IPA ICAI	-	
Monday	26-Apr-2021	PREC	43th PREC	Training Program   43rd Batch   7-Day Pre Registration Educational Course (Virtual)   April 26 - May 02, 2021	IIPI	-	
Saturday	08-May-2021	Workshop	Workshop	Workshop   Analysis of Supreme Court Judgements w.r.t. IBC   Saturday, May 8, 2021   10 AM - 5 PM	ICSI IIP Zoom	4	71
Saturday	15-May-2021	Workshop	Workshop	Workshop on 'IMMUNITIES ACCESSIBLE FOR INSOLVENCY PROFESSIONALS UNDER IBC 15th May 10:00am -5:00 pm	ICSI IIP Zoom	4	117
Saturday	22-May-2021	Workshop	Workshop	Workshop on 'Valuation under IBC'	ICSI IIP Zoom	4	77
Saturday	29-May-2021	Workshop	Workshop	Workshop on Asset Reconstruction Company w.r.t. IBC: Need of the hour	ICSI IIP Zoom	4	121
Saturday	05-Jun-2021	Workshop	Workshop	Workshop   Practical Aspects Related To Personal Guarantors - With Latest Judgements	ICSI IIP Zoom	4	122
Sunday	06-Jun-2021	LIT UP	10th LIT UP	Training Program   3-Day LIT UP   Online Program   Preparation for Limited Insolvency Examination	ICSI IIP Zoom	-	15
Saturday	12-Jun-2021	Workshop	Workshop	Workshop   Capacity Building For IP's	ICSI IIP Zoom	4	76
Wednesday	16-Jun-2021	PREC (ICSI IIP)	44th PREC	Training Program   44th Batch   7-Day Pre Registration Educational Course (Virtual)   June 10 - 16, 2021	ICSI IIP Zoom	-	10
Saturday	19-Jun-2021	Workshop	Workshop	Workshop   Understanding Forensic Audit Under IBC	ICSI IIP Zoom	4	115
Saturday	26-Jun-2021	Workshop	Workshop	Workshop   Impact of Limitation Act and Arbitration Proceedings under IBC	ICSI IIP Zoom	4	103
Saturday	03-Jul-2021	Workshop	Workshop	Workshop   Safeguarding the rights of IP's under IBC	ICSI IIP Zoom	4	77

Wednesday	07-Jul-2021	Joint Program	Batch 1   Certificate Course	Certificate Course on IBC (On Every Wednesday) 12 Wednesdays <b>(Joint Program with ICSI)</b>	ICSI IIP Zoom	-	226
Thursday	08-Jul-2021	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion	Round-table Discussion (Virtual)   Record Retention by Liquidators in Liquidation Cases	ICSI IIP Zoom	-	390
Friday	23-Jul-2021	PREC	45th PREC	Training Program   45th Batch   7-Day Pre Registration Educational Course (Virtual)   July 23 - 29, 2021	IIPI	-	
Saturday	24-Jul-2021	Workshop	Workshop	Workshop   Treatment of Homebuyers under IBC and related Case Laws	ICSI IIP Zoom	4	61
Friday	30-Jul-2021	Joint Program	Lecture Series	Series of lectures on IBC <b>(ICSI Pune Chapter)</b>	ICSI	-	10
Saturday	31-Jul-2021	Workshop	Workshop	Workshop   Avoidable Transactions Under IBC   July 31, 2021	ICSI IIP Zoom	4	78
Sunday	01-Aug-2021	LIT UP	11th LIT UP	3-Day LIT UP   Online Program   Preparation for Limited Insolvency Examination   July 30 - Aug 01, 2021	ICSI IIP Zoom	-	9
Friday	06-Aug-2021	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion	Round-table Discussion (Virtual)   IBBI circular dt. 28th July 2021 on Monetary Penalties to be imposed by IPAs	ICSI IIP Zoom	-	68
Saturday	07-Aug-2021	Workshop	Workshop	Workshop   Reverse CIRP: A Win Win Situation   August 07, 2021   10:00 AM - 05:00 PM	ICSI IIP Zoom	4	77
Saturday	14-Aug-2021	Workshop	Workshop	Workshop   Critical Issues: Liquidation Process   August 14, 2021   10 AM to 5 PM	ICSI IIP Zoom	4	54
Saturday	14-Aug-2021	PREC	46th PREC	Training Program   46th Batch   7-Day Pre Registration Educational Course (Virtual)   August 08 - 14, 2021	IPA ICAI	-	
Saturday	21-Aug-2021	Workshop	Workshop	Workshop   Treatment of Corporate Debtor with NIL Assets   August 21, 2021   10 AM to 5 PM	ICSI IIP Zoom	4	56
Saturday	28-Aug-2021	Workshop	Workshop	Workshop   Stressed Assets: Opportunities under IBC   Saturday, August 28, 2021   10 AM - 5 PM	ICSI IIP Zoom	4	55
Sunday	29-Aug-2021	PREC (ICSI IIP)	47th PREC	Training Program   47th Batch   7-Day Pre Registration Educational Course (Virtual)   August 23 - 29, 2021	ICSI IIP Zoom	-	9
Saturday	04-Sep-2021	Workshop	Workshop	Workshop   Accountability of Personal Guarantors under the IBC, 2016   Saturday, September 04, 2021   10:00 AM - 5:00 PM IST	ICSI IIP Zoom	4	74
Friday	10-Sep-2021	Workshop	Workshop	Workshop   Case Law Series: 5 Cases: 5 Fridays	ICSI IIP Zoom	5	26
Saturday	11-Sep-2021	Workshop	Workshop	Workshop   IBC vis-à-vis Companies Act   Sept. 11' 2021   10:30 am - 05:30 pm	ICSI IIP Zoom	4	44

Tuesday	14-Sep-2021	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion	Round-table Discussion (Virtual)   IBBI discussion paper dt. 27th August 2021 on "Strengthening Regulatory Framework of Liquidation Process"   September 14, 2021   04:00 PM	ICSI IIP Zoom	-	86
Wednesday	15-Sep-2021	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion	Round-table Discussion (Virtual)   IBBI discussion paper dt. 27th August 2021   September 15, 2021   04:00 PM	ICSI IIP Zoom	-	72
Sunday	19-Sep-2021	LIT UP	12th LIT UP	Training Program   12th Batch   3-Day LIT UP (Virtual)   Preparation for Limited Insolvency Examination   September 17 - 19, 2021	ICSI IIP Zoom	-	7
Saturday	25-Sep-2021	Workshop	Workshop	Workshop   Impact of Writ Jurisdiction of High Court on the objectives of IBC   Saturday, September 25, 2021   10 AM to 5 PM	ICSI IIP Zoom	4	44
Wednesday	29-Sep-2021	Joint Program	British High Commission	Webinar   Sale process of the Corporate Debtor as Going concern and the challenges faced by the Liquidator   September 29, 2021   3 PM to 5PM ( <b>ICSIIP &amp; British High Commission</b> )	EY (BlueJeans)	-	156
Thursday	07-Oct-2021	Webinar	Webinar	Webinar   Latest Amendments in CIRP and Liquidation Regulations   Thursday 07, 2021   4 PM	ICSI IIP Zoom	-	155
Saturday	09-Oct-2021	Workshop	Workshop	Workshop   Implications of Goods and Services Tax and Income-Tax on IBC   Saturday, October 09, 2021   10 AM to 5 PM	ICSI IIP Zoom	4	73
Tuesday	12-Oct-2021	PREC	48th PREC	Training Program   48th Batch   7-Day Pre Registration Educational Course (Virtual)   October 06 - 12, 2021	IIPI	-	
Saturday	16-Oct-2021	Joint Program	Batch 2   Certificate Course	Batch 2   Certificate Course on IBC ( <b>On Every Saturday</b> ) ( <b>Joint Program with ICSI</b> )	ICSI IIP Zoom	-	112
Wednesday	20-Oct-2021	Workshop	Workshop	Workshop   Advance Series : Pragmatic Approach for Insolvency Professionals   (20.10.2021 to 21.10.2021 & 27.10.2021 to 28.10.2021)	ICSI IIP Zoom	4	12
Saturday	23-Oct-2021	Workshop	Workshop	Workshop   Symposium: Best Standards for Fees and reimbursement of expenses to an IP under IBC   Oct. 23' 2021   10 am to 5 PM	ICSI IIP Zoom	4	79
Saturday	30-Oct-2021	Workshop	Workshop	Workshop   IBC v. SEBI Act: Supremacy between Special Laws   October 30, 2021   10 AM to 5 PM	ICSI IIP Zoom	4	94
Sunday	31-Oct-2021	Webinar	Webinar	Webinar   Critical Aspects Related to Individual Insolvency   October 31, 2021   4 PM to 7 PM	ICSI IIP Zoom	-	144
Friday	12-Nov-2021	LIT UP	13th LIT UP	Training Program   13th Batch   3-Day LIT UP (Virtual)   Preparation for Limited Insolvency Examination   November 12 - 14, 2021	ICSI IIP Zoom	-	7
Saturday	13-Nov-2021	Workshop	Workshop	Workshop   Journey from the Information Memorandum to Resolution Plan   November 13, 2021   0930 HRS - 1630 HRS	ICSI IIP Zoom	4	127

Sunday	14-Nov-2021	Joint Program	RIPA	RIPA, in association with ICSI Institute of Insolvency Professionals, is pleased to announce its third RRC in virtual mode! 23.10-29.10.21   29.10-30.10.21   13.11-14.11.21 <b>(ICSI IIP &amp; RIPA)</b>	RIPA	12	66
Saturday	20-Nov-2021	Workshop	Workshop	Workshop   An Insight into Individual Insolvency & Pre-Packaged Insolvency Resolution   November 20, 2021   09:30 AM - 04:30 PM	ICSI IIP Zoom	4	105
Tuesday	23-Nov-2021	PREC	49th PREC	Training Program   49th Batch   7-Day Pre Registration Educational Course (Virtual)   November 17 - 23, 2021	IPA ICAI	-	
Saturday	27-Nov-2021	Workshop	Workshop	Workshop   Compliances to be made by an IP under IBC   November 27, 2021   930 AM to 4:30 PM	ICSI IIP Zoom	4	118
Friday	03-Dec-2021	PREC (ICSI IIP)	50th PREC	Training Program   50th Batch   7-Day Pre Registration Educational Course (Virtual)   December 03 - 09, 2021	ICSI IIP Zoom	-	12
Saturday	04-Dec-2021	Workshop	Workshop	Workshop   Compliances to be made by an IP under IBC   November 27, 2021   930 AM to 4:30 PM	ICSI IIP Zoom	4	97
Saturday	11-Dec-2021	Workshop	Workshop	Workshop   Cross-border Insolvency and Group Insolvency   Saturday, December 11, 2021   0930 HRS - 1630 HRS	ICSI IIP Zoom	4	98
Monday	13-Dec-2021	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion   Cross-Border Insolvency under IBC   December 13, 2021   04:30 PM - 06:00 PM	ICSI IIP Zoom	-	94
Saturday	18-Dec-2021	Workshop	Workshop	Workshop   Seminar on 5 Year Journey of IBC and Way Forward   Saturday, December 18, 2021   09:30 AM - 04:30 PM	ICSI IIP Zoom	4	75
Wednesday	21-Dec-2021	Joint Program	NeSL	Webinar   Platform for Distressed Assets (PDA) - An integrated Technology Solution for IPs   December 21, 2021   3 PM to 5 PM <b>(ICSI IIP &amp; NeSL)</b>	Microsoft Teams NeSL	1	189
Thursday	23-Dec-2021	PREC	51st PREC	Training Program   51st Batch   7-Day Pre Registration Educational Course (Virtual)   December 23 - 29, 2021	IIPI	-	
Saturday	25-Dec-2021	Workshop	Workshop	Workshop   Refresher on Landmark SC Judgements under IBC in 2021   December 25, 2021   09:30 AM - 04:30 PM	ICSI IIP Zoom	4	107
Saturday	08-Jan-2022	Workshop	Workshop	Workshop   Pre-Packaged Insolvency Resolution Process   January 08, 2022   0930 HRS - 1630 HRS	ICSI IIP Zoom	4	83
Thursday	13-Jan-2022	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion   MCA notice dt. 23rd Dec. 2021 on proposed changes in CIRP and Liquidation provisions under IBC   January 13, 2022   11:30 AM	ICSI IIP Zoom	-	148
Saturday	15-Jan-2022	Workshop	Workshop	Workshop   Managing the Affairs of Corporate Debtor by IRP/ RP under IBC   January 15, 2022   09:30 AM - 04:30 PM	ICSI IIP Zoom	4	113
Saturday	15-Jan-2022	PREC	52nd PREC	Training Program   52nd Batch   7-Day Pre Registration Educational Course (Virtual)   January 15 - 21, 2022	IPA ICAI	-	
Saturday	22-Jan-2022	Workshop	Workshop	Workshop   IBC vis--vis Prevention of Money Laundering Act, 2002   January 22, 2022   09:30 AM - 04:30 PM	ICSI IIP Zoom	4	97
Saturday	29-Jan-2022	Workshop	Workshop	Workshop   Role of Related Party Under IBC, 2016   January 29, 2022   09:30 AM - 04:30 PM	ICSI IIP Zoom	4	93

Saturday	05-Feb-2022	Workshop	Workshop	Workshop   Refresher on BLRC Report: Grundnorm of IBC   February 05, 2022   09:30 AM - 04:30 PM	ICSI IIP Zoom	4	83
Thursday	10-Feb-2022	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion   IBBI Discussion Paper dt. 1st February 2022 on amendments in IBBI (Voluntary Liquidation Process) Regulation, 2017   February 10, 2022   04:00 PM	ICSI IIP Zoom	-	123
Saturday	12-Feb-2022	Workshop	Workshop	Workshop   Interplay of RERA, Admiralty Act & IBC   February 12, 2022   09:30 AM - 04:30 PM	ICSI IIP Zoom	4	66
Friday	18-Feb-2022	PREC (ICSI IIP)	53rd PREC	Training Program   53rd Batch   7-Day Pre Registration Educational Course (Virtual)   February 18 - 24, 2022	ICSI IIP Zoom	-	7
Saturday	19-Feb-2022	Workshop	Workshop	Workshop   Rising Haircuts Under IBC, 2016   February 19, 2022   0930 HRS - 1630 HRS	ICSI IIP Zoom	4	78
Friday	25-Feb-2022	LIT UP	14th LIT UP	Training Program   14th Batch   3-Day LIT UP (Virtual)   LIT UP - Limited Insolvency Examination Training®   February 25 - 27, 2022	ICSI IIP Zoom	-	6
Saturday	26-Feb-2022	Workshop	Workshop	Workshop   Power of NCLT under Section 7 and 60(5) OF IBC   February 26, 2022   09:30 AM - 04:30 PM	ICSI IIP Zoom	4	71
Saturday	05-Mar-2022	Workshop	Workshop	Workshop   Interplay of Company and Banking Laws with IBC   March 5, 2022   10 AM to 5 PM	ICSI IIP Zoom	4	53
Saturday	12-Mar-2022	Workshop	Workshop	Workshop   Insolvency Resolution Plan   March 12, 2022   10:00 AM to 05:00 PM	ICSI IIP Zoom	4	61
Tuesday	15-Mar-2022	Webinar	Webinar	Webinar   Panel Discussion on Case Laws   March 15 & March 22, 2022   04:00 PM - 06:00 PM	ICSI IIP Zoom	2	103
Thursday	17-Mar-2022	Joint Program	British High Commission	Webinar   Legal framework of Cross Border Insolvency   March 17, 2022   3:00 PM - 5:00 PM <b>(ICSI IIP &amp; British High Commission)</b>	EY (BlueJeans)	-	532
Saturday	19-Mar-2022	Workshop	Workshop	Workshop   Balancing Act: Financial Creditor & Operational Creditor   March 19, 2022   9:30 AM to 4:30 PM	ICSI IIP Zoom	4	45
Friday	25-Mar-2022	PREC	54th PREC	Training Program   53rd Batch   7-Day Pre Registration Educational Course (Virtual)   March 25 - 31, 2022	IIPI	-	
Saturday	26-Mar-2022	Workshop	Workshop	Workshop   Ethical Practice and Conduct of IPs   March 26, 2022   9:30 AM to 4:30 PM	ICSI IIP Zoom	4	40
Monday	28-Mar-2022	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion	Round-table (Virtual) Discussion   IBBI Discussion Paper dt. 15th February 2022 on Engagement and appointment of 'professionals' in CIRP   March 28, 2022   03:30 PM	ICSI IIP Zoom	-	113